

# Bereskin & Parr

INTELLECTUAL PROPERTY LAW

February 8, 2008

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Your Reference: 10/828,269

Our Reference: 11483-174

## SUPPLEMENTAL INFORMATION DISCLOSURE STATEMENT

Mail Stop Amendment  
Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA  
22313-1450

Dear Sir:

**Re: U.S. Patent Application No. 10/828,269**  
**For: RISK MANAGEMENT SYSTEM AND METHOD PROVIDING RULE-**  
**BASED EVOLUTION OF A PORTFOLIO OF INSTRUMENTS**  
**Filing Date: April 21, 2004**  
**Inventor: Jim Degraaf et al.**

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Further to the Examiner's request for information dated December 10, 2007, the Applicant hereby submits and Information Disclosure Statement including (1) a listing on PTO form SB/08B, of patents and other publications of which the Applicant is was are that may be considered material to patentability, and (2) a copy of the listed publications.

Please have these documents recorded against the above-mentioned application.

Respectfully submitted,

By:



Kendrick Lo  
Registration No. 54,948

/gr  
Encl.